

REGISTRATION FORM
(Please read instructions carefully before filling up the form)
FIRST NAME

MIDDLE NAME

LAST NAME

PART-I PERSONAL DETAILS
NAME TO BE PRINTED ON CERTIFICATE

(WILL BE PRINTED SAME AS 'FIRST NAME MIDDLE NAME LAST NAME')

DATE OF BIRTH (DD-MMM-YYYY)

MALE/FEMALE

Recent
 Passport size
 Colour Photograph

ADDRESS

FLAT NO/ H.NAME & NO.
STREET NAME :
VILLAGE/AREA/DISTRICT :
LANDMARK :
STATE :

(Candidates Signature- Sign inside the box)

CITY

PINCODE (Mandatory)
RESIDENCE/MOBILE NO: (WITH STD CODE)

OFFICE NUMBER (WITH STD CODE)

E MAIL - (MANDATORY)

PAN

QUALIFICATION DETAILS
 Below Higher Secondary
 Higher Sec / Technical Diploma
 Graduate
 Post Graduate

BELOW HIGHER SECONDARY ONLY
 Yes No

HIGHER SECONDARY / TECHNICAL DIPLOMA

MAJOR SUBJECT	YR OF PASSING	UNIVERSITY / INSTITUTE	% / GRADE
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

GRADUATION DETAILS

MAJOR SUBJECT	YR OF PASSING	UNIVERSITY / INSTITUTE	% / GRADE
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

POST-GRADUATION DETAILS

MAJOR SUBJECT	YR OF PASSING	UNIVERSITY / INSTITUTE	% / GRADE
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

OCCUPATIONAL DETAILS
OCCUPATION
 Student
 Self Employed
 Service
 Others _____ (pls specify)

IF EMPLOYED, KINDLY PROVIDE CURRENT EMPLOYMENT DETAILS:
NAME OF THE ORGANISATION

DESIGNATION

FROM DATE (DD-MMM-YYYY)

ADDRESS OF THE ORGANISATION**CITY**

PINCODE

TELEPHONE NO. (WITH STD CODE)

FAX NO. (WITH STD CODE)

PART-II TEST DETAILS

 NCFM-

(specify registration number, if already registered under NCFM.)

MODULE NAME (Tick the desired Module)

- | | | | |
|---|----------------------------------|-----------------------------------|--------------------------------|
| <input type="checkbox"/> Financial Markets: A Beginners' Module | <input type="checkbox"/> English | <input type="checkbox"/> Gujarati | <input type="checkbox"/> Hindi |
| <input type="checkbox"/> Mutual Funds : A Beginners' Module | | | |
| <input type="checkbox"/> Currency Derivatives: A Beginner's Module | | | |
| <input type="checkbox"/> Equity Derivatives: A Beginner's Module | | | |
| <input type="checkbox"/> Interest Rate Derivatives: A Beginner's Module | | | |
| <input type="checkbox"/> Securities Market (Basic) Module | | | |
| <input type="checkbox"/> Capital Market (Dealers) Module | <input type="checkbox"/> English | <input type="checkbox"/> Gujarati | <input type="checkbox"/> Hindi |
| <input type="checkbox"/> Derivatives Market (Dealers) Module | <input type="checkbox"/> English | <input type="checkbox"/> Gujarati | <input type="checkbox"/> Hindi |
| <input type="checkbox"/> FIMMDA-NSE Debt Market (Basic) Module | | | |
| <input type="checkbox"/> Surveillance in Stock Exchanges Module | | | |
| <input type="checkbox"/> Corporate Governance Module | | | |
| <input type="checkbox"/> Compliance Officers (Brokers) Module | | | |

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|--|
| <input type="checkbox"/> Compliance Officers (Corporates) Module |
| <input type="checkbox"/> Information Security Auditors Module (Part-I) |
| <input type="checkbox"/> Information Security Auditors Module (Part-II) |
| <input type="checkbox"/> Commodities Market Module |
| <input type="checkbox"/> NSDL- Depository Operations Module |
| <input type="checkbox"/> Options Trading Strategies Module |
| <input type="checkbox"/> Investment Analysis and Portfolio Management Module |
| <input type="checkbox"/> Commercial Banking in India: A Beginner's Module |
| <input type="checkbox"/> Fundamental Analysis Module |
| <input type="checkbox"/> Securities Market (Advanced) Module |
| <input type="checkbox"/> Banking Sector Module |
| <input type="checkbox"/> Insurance Module |
| <input type="checkbox"/> Macroeconomics for Financial Markets Module |
| <input type="checkbox"/> Financial Modeling Module |

For Office Use only

- | |
|--|
| <input type="checkbox"/> Hand Del/ Courier |
| <input type="checkbox"/> Mails of the Day |
| <input type="checkbox"/> Chkd/Reg./Scanned |
| <input type="checkbox"/> Enrollment/DD Entry |
| <input type="checkbox"/> SM Issued/SM Sent |

Note: Test in Capital Market (Dealers) Module, Derivatives Market (Dealers) Module, Financial Markets: A Beginners' Module are available in English, Gujarati and Hindi languages. Please tick the appropriate language.

 PREFERRED TEST CENTRE (mandatory)
TEST SCHEDULE

 TEST CENTRE

 TEST DATE - -
 D D M M M Y Y Y Y

 TEST TIME :
 H H M M

Once the test details has been specified by the candidate, the test cannot be rescheduled
 Fees once paid shall not be refunded. Test fees are valid for 180 days from the date of payment.
 Candidates need to enroll for the test(s) within 180 days from payment of fees.

PART-III PAYMENT DETAILS

DD NUMBER 1 <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	DD NUMBER 2 <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	DD NUMBER 3 <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	DD DATE (DD-MM-YY) <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/>	TOTAL DD AMOUNT <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
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ISSUING BANK (NAME AND BRANCH) _____

I certify that the above information provided by me is true and correct to the best of my knowledge, information and belief.

 PLACE

 DATE - - 2 0

 (CANDIDATE'S SIGNATURE)

INSTRUCTIONS FOR FILLING-UP REGISTRATION FORM

- 1 Please fill in all details in the entire form only in BLOCK LETTERS. Write only one alphabet in each square provided. Leave one square blank between words. Please fill in the First Name, Middle Name, Last Name and Date of Birth mandatorily. Fill in your DATE OF BIRTH in the following format :- DD-MMM-YYYY (e.g. 23 MAR 1974)
 - 2 Name to be Printed on Certificate will be printed same as 'First Name Middle Name Last Name'.
 - 3 You are required to paste a recent colour passport size photograph in the space provided. Do not staple the photograph. Do not sign across the photograph. Sign in the block provided for signature.
 - 4 Please mention full contact details with city, pincode & state. Please specify your telephone no./mobile no. and email.
 - 5 Please fill in the qualification and occupation details as may be applicable.
 - 6 Fees to be paid by Demand Draft in favour of '**National Stock Exchange of India Limited**', payable at the nearest NSE office. Also keep a photocopy of the demand draft with you, before you send it to NSE. Please write the name of the candidate on the reverse of the demand draft.
 - 7 **Fees** : (see Module table given in next sheet) Please note that test fees once paid are non-refundable.
 - 8 **Scorecard**: Scorecard is issued on the spot to the candidate after the completion of test (only if photograph is uploaded). This service is free of charge. For subsequent requests for scorecard a demand draft of Rs.50/- is payable.
 - 9 On-line registration is also possible on www.nseindia.com. Please visit the website for complete details.
 - 10 Please tick the box of the module you wish to appear. The details of NCFM modules are given in the next sheet
 - 11 **Fees once paid shall not be refunded. Test fees are valid for 180 days from the date of payment. Candidates need to enroll for the test(s) within 180 days from payment of fees. Also once enrolled, tests cannot be rescheduled / postponed.**
 - 12 At the time of the test, if it is seen that the photograph has not been uploaded, then you would be allowed to take the test only on furnishing a passport size photograph and an **attested** copy of a photo-id proof (**attestation by Gazetted Officer**). The original photo id proof would be required to be shown at the time of the examination.
- In the space provided for TEST DETAILS (Part-II), Please mention Test Center. Test date and time may be submitted as per your choice and as per the availability at each test center. Test date can be informed by e-mail or fax. Please refer the format given on the website under off-line registration procedure. The list of the candidates who have been enrolled will be displayed on the site.
- The study material of all modules (**except for** Corporate Governance, Compliance Officers Modules & Financial Modeling Module) will be sent to you by courier in SEVEN working days from date of payment. Please mark your envelopes as 'To NCFM Department'. Please note that study material will NOT be provided in case of balance payment being made.
- Forms can be sent by courier / post to any of the NSEIL offices. Form submission by hand delivery at all NSEIL offices is on Mon-Fri between 10:00 am to 5:00 pm only. (In case of Ahmedabad it is between 10:00 am to 4:00 pm). In case of Mumbai office, the duly filled up form with requisite payment can also be put in a drop-box kept at the main entrance at the Bandra-Kurla office.
- NCDEX distributes the training material of Commodities Market Module free of cost only to their active members and to others at a cost of Rs. 500/- plus courier charges. Kindly contact NCDEX, Akruti Corporate Park, 1st Floor, Near G. E. Garden, LBS Marg, Kanjurmarg (West), Mumbai - 400078 office for this training material. Tel No: 022-66406789
- Study Material for the Corporate Governance module is available for sale at ICSI offices at: A) ICSI-Centre for Corporate Research & Training, Plot No. 101, Sector 15, Institutional Area, CBD Belapur, Navi Mumbai-400614 #022-27577814 - 16 Extn. 406, email: ccrt@icsi.edu, ccrt@vsnl.com B) Western Indian Regional Council (WIRC) of the ICSI, 13 Jolly Makers Chambers -II, First Floor, Nariman Point, Mumbai 400021, Tel No: 022-22047569, Contact Person: Mr. Mani, email: wiro@icsi.edu
- In case of Compliance Officers Modules, candidates are required to refer to the relevant books, circulars, manuals etc., as per the given curriculum, on their own. No study material will be provided for the Compliance Officers Modules.
- Please note that the NCFM tests are conducted at the mentioned test centers from Monday to Friday only (at Mumbai - on Sat also). The NCFM registration forms can be sent to the following NSE offices:

	NSE Office	Test Center	Time Slots (in hours)
1	National Stock Exchange of India Ltd., Exchange Plaza, Bandra-Kurla Complex, Bandra (East), Mumbai - 400051 Tel: (022) 26598216, Fax: (022) 26598393 E-mail : ncfm@nse.co.in	National Stock Exchange of India Ltd., United India Building, 2nd Floor, Near Kashmir Emporium, Opp. Apna Bazaar, Sir Phirozeshah Mehta Road, Fort, Mumbai - 400001 (Landmark - Above Indian Bank)	9:30, 11:30, 13:30, 15:30
2	National Stock Exchange of India Ltd., 4th Floor, Jeevan Vihar Building, Parliament Street, New Delhi - 110001 (Landmark - Near Patel Chowk, Metro Station) Tel.: (011) 23344313-27 Fax: (011) 23366658 e-mail: ncfm_delhi@nse.co.in	National Stock Exchange of India Ltd., 4th Floor, Jeevan Vihar Building, Parliament Street, New Delhi - 110001 (Landmark - Near Patel Chowk, Metro Station) Tel.: (011) 23344313-27 Fax: (011) 23366658 e-mail: ncfm_delhi@nse.co.in	9:45, 11:45, 13:45, 15:45
3	National Stock Exchange of India Ltd., 2nd Floor, Ispahani Centre, Door No. 123-124, Nungambakkam High Road, Nungambakkam, Chennai - 600034 (Landmark - Next to Income Tax Office / CA Institute) Tel.: (044) 28332500/01; 28332511; Fax : (044) 28332521 ; e-mail: ncfm_chennai@nse.co.in	NSEIT Test Center, Kaveri Complex (Ground Floor), No. 96-104, Nungambakkam High Road, Opp. Sterling Road Bus Stop, Nungambakkam, Chennai-600034	As per availability
4	National Stock Exchange of India Ltd., 406, Sakar II, Near Ellis Bridge, Ahmedabad - 380006 (Landmark - Opp. Town Hall, Near Ellisbridge) Tel.: (079) 26580212/3 Fax: (079) 26576123. e-mail: ncfm_ahmedabad@nse.co.in	NSEIT Test Center, 401, Sapphire Complex, 4th Floor, Opp Times Square, Near Bodyline Char Rasta, C.G.Road, Ahmedabad-380019 (Landmark - Near Bodyline Char Rasta, C.G.Road)	As per availability
5	National Stock Exchange of India Ltd., 1st Floor, Park View Apartments, 99, Rash Behari Avenue, Kolkata – 700029 (Landmark - Deshpriya Park, Near Priya Cinema, Above GKB Optical). Tel. (033) 24631802/40 400 400 Fax. (033) 40400440; e-mail: ncfm_kolkata@nse.co.in	NSEIT Test Center, 54/1/A, 1st Floor, Nav-Jeevan Apartments, Hazra Road, Kolkata - 700019 (Landmark - Opp. Hazra Law College / HDFC Bank Building)	As per availability
6	National Stock Exchange of India Ltd., H No.3-6-322, Mahavir House, IIInd Floor, Chamber no.203 & 204, Basheerbagh, Hyderabad - 500029 (Landmark - Near Police Commissioner's Office, Basheerbagh Square) Tel: (040) 23227084/5 Fax : (040) 23227086. e-mail: ncfm_hyderabad@nse.co.in	NSEIT Test Center, 303-304, 3rd Floor, Mahavir Chambers, 3-6-363, Near Liberty Cross Road, Basheerbagh, Hyderabad - 500029	As per availability
7	Any other centre (depending on demand) visit our website: nseindia.com > ncfm> testing > test at other locations		

LIST OF MODULES

Sr. No.	NAME OF MODULE	Duration (in mins)	No. of Questions	Max Marks	Pass Marks (%)	Certificate Validity (in yrs)	Fees (Rs.)
1	Financial Markets: A Beginners' Module*	120	60	100	50	5	1500
2	Mutual Funds : A Beginners' Module	120	60	100	50	5	1500
3	Currency Derivatives: A Beginner's Module	120	60	100	50	5	1500
4	Equity Derivatives: A Beginner's Module	120	60	100	50	5	1500
5	Interest Rate Derivatives: A Beginner's Module	120	60	100	50	5	1500
6	Commercial Banking in India: A Beginner's Module	120	60	100	50	5	1500
7	Securities Market (Basic) Module	105	60	100	60	5	1500
8	Capital Market (Dealers) Module*	105	60	100	50	5	1500
9	Derivatives Market (Dealers) Module*	120	60	100	60	3	1500
10	FIMMDA-NSE Debt Market (Basic) Module	120	60	100	60	5	1500
11	Investment Analysis and Portfolio Management Module	120	60	100	60	5	1500
12	Fundamental Analysis Module	120	60	100	60	5	1500
13	Securities Market (Advanced) Module	120	60	100	60	5	1500
14	Banking Sector Module	120	60	100	60	5	1500
15	Insurance Module	120	60	100	60	5	1500
16	Macroeconomics for Financial Markets Module	120	60	100	60	5	1500
17	NSDL - Depository Operations Module	75	60	100	60#	5	1500
18	Commodities Market Module	120	60	100	50	3	1800
19	Surveillance in Stock Exchanges Module	120	50	100	60	5	1500
20	Corporate Governance Module	90	100	100	60	5	1500
21	Compliance Officers (Brokers) Module	120	60	100	60	5	1500
22	Compliance Officers (Corporates) Module	120	60	100	60	5	1500
23	Information Security Auditors Module (Part-I)	120	90	100	60	2	2250
	Information Security Auditors Module (Part-II)	120	90	100	60		2250
24	Options Trading Strategies Module	120	60	100	60	5	1500
25	FPSB India Exam 1 to 4 ***	120	75	140	60	NA	2000 per exam
26	Examination 5/Advanced Financial Planning ***	240	30	100	50	NA	5000
27	Equity Research Module ##	120	65	100	55	2	1500
28	Issue Management Module ##	120	80	100	55	2	1500
29	Market Risk Module ##	120	50	100	55	2	1500
30	Financial Modeling Module ###	150	50	75	50	NA	1000

* Candidates have the option to take the test in English, Gujarati and Hindi languages.

*** Following are the modules of Financial Planning Standards Board India (Certified Financial Planner Certification):

FPSB India Exam 1 to 4 i.e. (i) Risk Analysis & Insurance Planning (ii) Retirement Planning & Employee Benefits (iii) Investment Planning and (iv) Tax Planning & Estate Planning

Examination 5/Advanced Financial Planning

Candidates securing 80% or more marks in NSDL-Depository Operations Module ONLY will be certified as 'Trainers'.

Modules of Finitiatives Learning India Pvt. Ltd. (FLIP)

Module of IMS Proschool