

NATIONAL INSTITUTE OF SECURITIES MARKETS (NISM)

REGISTRATION FORM (PAGE 2)

OCCUPATIONAL DETAILS

OCCUPATION Student Self Employed Service

IF EMPLOYED, KINDLY PROVIDE CURRENT EMPLOYMENT DETAILS:

NAME OF THE ORGANISATION

DESIGNATION	FROM DATE (DD-MMM-YYYY)	TO DATE (DD-MMM-YYYY)
<input style="width: 150px; height: 14px;" type="text"/>	<input style="width: 150px; height: 14px;" type="text"/>	<input style="width: 150px; height: 14px;" type="text"/>

ADDRESS OF THE ORGANISATION

CITY	PINCODE	TELEPHONE NO. (WITH STD CODE)
<input style="width: 250px; height: 14px;" type="text"/>	<input style="width: 100px; height: 14px;" type="text"/>	<input style="width: 435px; height: 14px;" type="text"/>

FAX NO. (WITH STD CODE)

COMPANY DETAILS

SEBI REGISTRATION NUMBER OF EMPLOYER	SEBI REGISTRATION CATEGORY
<input style="width: 230px; height: 14px;" type="text"/>	<input style="width: 240px; height: 14px;" type="text"/>
<input style="width: 230px; height: 14px;" type="text"/>	<input style="width: 240px; height: 14px;" type="text"/>
<input style="width: 230px; height: 14px;" type="text"/>	<input style="width: 240px; height: 14px;" type="text"/>

(Please provide the SEBI Registration number of employer, if applicable)

PART-II TEST DETAILS

NCFM-_____ (specify registration number, if already registered under NCFM.)

EXAMINATION NAME (Select the desired Examination)

- NISM-Series-I: Currency Derivatives Certification Examination
- NISM-Series-II-A: Registrars to an Issue and Share Transfer Agents - Corporate Certification Examination
- NISM-Series-II-B: Registrars to an Issue and Share Transfer Agents - Mutual Fund Certification Examination
- NISM-Series-IV: Interest Rate Derivatives Certification Examination
- NISM-Series-V-A: Mutual Fund Distributors Certification Examination
 - English Gujarati Hindi
- NISM-Series-VI: Depository Operations Certification Examination
- NISM-Series-VII: Securities Operations and Risk Management Certification Examination
- Certified Personal Financial Advisor (CPFA) Examination

TEST SCHEDULE

TEST CENTRE	TEST DATE	TEST TIME
<input style="width: 210px; height: 14px;" type="text"/>	<input style="width: 225px; height: 14px;" type="text"/>	<input style="width: 100px; height: 14px;" type="text"/>
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Note: Test in NISM-Series-V-A: Mutual Fund Distributors Certification Examination are available in English, Gujarati and Hindi languages. Please tick the appropriate language.

**Once the test details has been specified by the candidate, the test cannot be rescheduled
 Fees once paid shall not be refunded. Test fees are valid for 180 days from the date of payment.
 Candidates need to enroll for the test(s) within 180 days from payment of fees.
 NISM Certificate will not be issued without PAN detail.**

PART-III PAYMENT DETAILS*

DD NUMBER	DD DATE (DD-MMM-YYYY)	DD AMOUNT
<input style="width: 115px; height: 14px;" type="text"/>	<input style="width: 220px; height: 14px;" type="text"/>	<input style="width: 130px; height: 14px;" type="text"/>

ISSUING BANK (NAME AND BRANCH) _____

I certify that the above information provided by me is true and correct to the best of my knowledge, information and belief.

PLACE

DATE

(CANDIDATE'S SIGNATURE)

INSTRUCTIONS FOR FILLING-UP REGISTRATION FORM

- 1 Please fill in all details in the entire form only in BLOCK LETTERS. Write only one alphabet in each square provided. Leave one square blank between words. Please fill in the First Name, Middle Name, Last Name and Date of Birth mandatorily. Fill in your DATE OF BIRTH in the following format :- DD-MMM-YYYY (e.g. 23 MAR 1974)
- 2 Name to be Printed on Certificate will be printed same as 'First Name Middle Name Last Name'.
- 3 You are required to paste a recent colour passport size photograph in the space provided. Do not staple the photograph. Do not sign across the photograph. Sign in the block provided for signature.
- 4 Please mention full contact details with city, pincode & state. Please specify your telephone/mobile number and email.
- 5 **NISM certificate will not be issued without PAN detail.**
- 6 In the space provided for Qualifications details > Higher Secondary > Major subject, please mention whether: Arts / Science / Commerce / Technical Diploma / Others.
- 7 In the space provided for Qualifications details > Graduation > Major subject, please mention whether: Arts / Science / Commerce / Management / Law / Engineering / Architecture / Medical / Others. If more than 1; latest two to be given.
- 8 In the space provided for Qualifications details > Post-Graduation > Major subject, please mention whether: Arts / Science / Commerce / Management / Law / Chartered Accountancy / Cost and Works Accountancy / Company Secretary / Engineering / Architecture / Medical / Others. If more than 1; latest three to be given.
- 9 Fees to be paid by Demand Draft in favour of '**NATIONAL STOCK EXCHANGE OF INDIA LIMITED**', payable at the nearest NSE office. Please enter the details of your mode of payment in the space provided. Also keep a photocopy of the demand draft with you, before you send it to NSEIL. Please write the name of the candidate on the reverse of the demand draft.

Fees Table :-

- | | |
|----|---|
| 10 | Fees : (see Examinations table given in point 11) Please note that test fees once paid are non-refundable. |
| | Score card : Scorecard is issued on the spot to the candidate after the completion of test (only if photograph is uploaded). This service is free of charge. For subsequent requests for scorecard a demand draft of Rs.50/- is payable. |

- 11 Please tick the box of the examination you wish to appear. The details of NISM examinations are as follows:

Sr. No.	NAME OF EXAMINATION	Duration (in mins)	No. of Questions	Max Marks	Pass Marks (%)	Certificate Validity (in years)	Fees (Rs.)
1	NISM-Series-I: Currency Derivatives Certification Examination	120	60	100	60	3	1000
2	NISM-Series-II-A: Registrars to an Issue and Share Transfer Agents - Corporate Certification Examination	120	100	100	50	3	1000
3	NISM-Series-II-B: Registrars to an Issue and Share Transfer Agents - Mutual Fund Certification Examination	120	100	100	50	3	1000
4	NISM-Series-IV: Interest Rate Derivatives Certification Examination	120	100	100	60	3	1000
5	NISM-Series-V-A: Mutual Fund Distributors Certification Examination	120	100	100	50	3	1000
6	NISM-Series-VI: Depository Operations Certification Examination	120	100	100	60	3	1000
7	NISM-Series-VII: Securities Operations and Risk Management Certification Examination	120	100	100	50	3	1000
8	Certified Personal Financial Advisor (CPFA) Examination	120	80	100	60	3	4000

- 12 **Fees once paid shall not be refunded. Test fees are valid for 180 days from the date of payment. Candidates need to enroll for the test(s) within 180 days from payment of fees. Also once enrolled, tests cannot be rescheduled / postponed.**
- 13 In the space provided for TEST DETAILS (Part-II), please mention test center, test date and test time. It is mandatory to mention test details at the time of registration. Currently, tests will be conducted at six NSEIL offices. Details of NSEIL offices given below. The list of the candidates who have been enrolled will be displayed on the NSEIL's website.
- 14 Registration form and demand draft should be sent to the respective NSEIL offices where you wish to take the test. Form submission by hand delivery and collection of study material at all NSEIL offices (except Mumbai, Delhi and Chennai) is on Mon-Fri between 10:00 am to 5:00 pm only. (In case of Ahmedabad it is between 10:00 am to 4:00 pm). In case of Mumbai office, the duly filled up form with requisite payment can also be put in a drop-box kept at the main entrance at the Bandra-Kurla office on any day during the aforesaid timings. Please mark your envelopes as 'To NCFM Department'
- 15 The study material for the certification examination(s) will be sent to you by courier by NSE.
- 16 NISM reserves the right to cancel any examination and not to issue certificate to any candidate who appeared in such examination which is cancelled by NISM, prevent issue of certificate to any candidate, deface any certificate/s issued to candidates and require the candidate to reappear for the examination, if NISM is satisfied at any time that in relation to any examination any kind of anomaly is observed by and/or reported to, NISM, without assigning any reason whatsoever.

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17 Please note that the NISM examinations are conducted at the mentioned test centers from Monday to Friday only (at Mumbai - on Sat also). The NISM registration forms can be sent to the following NSE offices:

	NSE Office	Test Center	Time Slots (in hours)
1	National Stock Exchange of India Ltd., Exchange Plaza, Bandra-Kurla Complex, Bandra (East), Mumbai - 400051 Tel: (022) 26598216, Fax: (022) 26598393 E-mail : ncfm@nse.co.in	National Stock Exchange of India Ltd., United India Building, 2nd Floor, Near Kashmir Emporium, Opp. Apna Bazaar, Sir Phirozeshah Mehta Road, Fort, Mumbai - 400001 (Landmark - Above Indian Bank)	9:30, 11:30, 13:30, 15:30
2	National Stock Exchange of India Ltd., 4th Floor, Jeevan Vihar Building, Parliament Street, New Delhi - 110001 (Landmark - Near Patel Chowk, Metro Station) Tel.: (011) 23344313-27 Fax: (011) 23366658 e-mail: ncfm_delhi@nse.co.in	National Stock Exchange of India Ltd., 4th Floor, Jeevan Vihar Building, Parliament Street, New Delhi - 110001 (Landmark - Near Patel Chowk, Metro Station) Tel.: (011) 23344313-27 Fax: (011) 23366658 e-mail: ncfm_delhi@nse.co.in	9:45, 11:45, 13:45, 15:45
3	National Stock Exchange of India Ltd., 2nd Floor, Ispahani Centre, Door No. 123-124, Nungambakkam High Road, Nungambakkam, Chennai - 6000034 (Landmark - Next to Income Tax Office / CA Institute) Tel.: (044) 28332500/01; 28332511; Fax : (044) 28332521 ; e-mail: ncfm_chennai@nse.co.in	NSEiT Test Center, Kaveri Complex (Ground Floor), No. 96-104, Nungambakkam High Road, Opp. Sterling Road Bus Stop, Nungambakkam, Chennai-600034	As per availability
4	National Stock Exchange of India Ltd., 406, Sakar II, Near Ellis Bridge, Ahmedabad - 380006 (Landmark - Opp. Town Hall, Near Ellisbridge) Tel.: (079) 26580212/3 Fax: (079) 26576123. e-mail: ncfm_ahmedabad@nse.co.in	NSEiT Test Center, 401, Sapphire Complex, 4th Floor, Opp Times Square, Near Bodyline Char Rasta, C.G.Road, Ahmedabad-380019 (Landmark - Near Bodyline Char Rasta, C.G.Road)	As per availability
5	National Stock Exchange of India Ltd., 1st Floor, Park View Apartments, 99, Rash Behari Avenue, Kolkata – 700029 (Landmark - Deshpriya Park, Near Priya Cinema, Above GKB Optical). Tel. (033) 24631802/40 400 400 Fax. (033) 40400440; e-mail: ncfm_kolkata@nse.co.in	NSEiT Test Center, 54/1/A, 1st Floor, Nav-Jeevan Apartments, Hazra Road, Kolkata - 700019 (Landmark - Opp. Hazra Law College / HDFC Bank Building)	As per availability
6	National Stock Exchange of India Ltd., H No.3-6-322, Mahavir House, IInd Floor, Chamber no.203 & 204, Basheerbagh, Hyderabad - 500029 (Landmark - Near Police Commissioner's Office, Basheerbagh Square) Tel: (040) 23227084/5 Fax : (040) 23227086. e-mail: ncfm_hyderabad@nse.co.in	NSEiT Test Center, 303-304, 3rd Floor, Mahavir Chambers, 3-6-363, Near Liberty Cross Road, Basheerbagh, Hyderabad - 500029	As per availability