



NATIONAL SECURITIES CLEARING CORPORATION LIMITED

SEGMENT : CURRENCY DERIVATIVES	
Download Ref No : NSCCL/CD/35712	Date : September 04, 2017
Circular Ref. No : 804/2017	

All NSE Members

Sub: Changes in existing file formats in CDS

Members are hereby requested to note that in view of applicability of Goods and Service Tax (GST) on penalties, the following reports have been modified with effect from September 01, 2017.

The reports shall contain additional columns viz 'GST Amount' and 'Total Penalty Amount'.

Sr No	File Type	File Name
1	Detail penalty for short/ non-reporting by trading members	PNL01
2	Detail penalty for short/non reporting by clearing member	PNL02
3	Penalty for short/ non-reporting by trading members affiliated to clearing member	PNL03
4	Exchange wide position limit for trading member	EWPL01
5	Exchange wide position limit for clearing member	EWPL02

Revised file structure for PNL01, PNL02 and PNL03 is attached as Annexure 1.

Members are advised to take note of the above.

**For and on behalf of
National Securities Clearing Corporation Ltd**

Ashwini Goraksha
Manager

Telephone No	Fax No	Email id
18002660057	+91-22-26598294	cds_clearing_ops@nsccl.co.in

Annexure 1

1. Detail penalty for short/ non-reporting by trading members (PNL01)

Naming convention:

X_PNL01_<MEMBER CODE>_DDMMYYYY.CSV

File Location: CDSFTP/X<MEMBER CODE>/ REPORTS/DNLD/PNL01

File details and format:

Trade Date
Client Code
Shortfall Amount
Penalty Amount
GST Amount
Total Penalty Amount

2. Detail penalty for short/non reporting by clearing member (PNL02)

Naming convention:

X_PNL02_<MEMBER CODE>_DDMMYYYY.CSV

File Location: CDSFTP/X<MEMBER CODE>/ REPORTS/DNLD/PNL02

File details and format:

Trade Date
TM Code/CP Code
Shortfall Amount
Penalty Amount
GST Amount
Total Penalty Amount

3. Penalty for short/ non-reporting by trading members affiliated to clearing member (PNL03)

Naming convention:

X_PNL03_<MEMBER CODE>_DDMMYYYY.CSV

File Location: CDSFTP/X<MEMBER CODE>/ REPORTS/DNLD/PNL03

File details and format:

Trade Date
TM Code
Penalty Amount
GST Amount
Total Penalty Amount