

NSE Clearing Limited

(Formerly known as National Securities Clearing Corporation Limited)

Department : FUTURES & OPTIONS

Download Ref No: NCL/CMPT/41583

Date: July 11, 2019

Circular Ref. No: 112/2019

All Members,

Sub: Revised file format for CP code modification.

This has reference to our circular reference no NCL/CMPT/40863 dated April 27, 2019 wherein it was specified that a new facility for CP Code modification shall be provided for the F&O and CD segments in the NMASS application.

Kindly note that the facility shall now be provided in the NCMS application and the revised file format to be uploaded for CP code modification in case of F&O and CD segments is provided as Annexure-1.

**For and on behalf of
NSE Clearing Limited
(Formerly known as National Securities Clearing Corporation Limited)**

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Annexure 1

CP Modification File Upload

Input File Name: XXXXX_NNN_S_YYYYMMDD.Tnn

The file format should be comma separated values (csv). Kindly note that the member code should be appended in the file name.

Output File Name:

In case of success – XXXXX_NNN_S_YYYYMMDD.Snn

In case of failure - XXXXX_NNN_S_YYYYMMDD.Rnn

Where:

- XXXXX - Member code
- NNN - Exchange Name (NSE/BSE/MSE)
- S - Segment Indicator F or X (F FO, X CD)
- YYYYMMDD - Date format
- T - File Indicator
- R - File is Rejected
- S - File is successful
- nn - Batch no.

Sample File name format: *****_NSE_F_20190626.T01

Control Record Format:

Field	Description	Data type	Length	Remarks
Record Type	Header record	Number	2	Value = 01
Member Type	Member Type	CHAR	1	Value = M
Member Code	Member code	CHAR	5	
Batch Date	Format : DDMMYYYY	CHAR	8	Should be the same as that in the file name.
Batch number	The batch number of	Number	7	Should be the same as that in the

Field	Description	Data type	Length	Remarks
	the file			file name.
Number of records	Total number of records in the file	Number	6	Should be equal to the number of detail records

Detail record Format:

Field	Description	Data type	Length	Remarks
Record Type	Detailed Record	Number	2	Value = 20
CP Code	CP code	CHAR	12	CP Code, INST (institutional order) or blank (Member's own trade)
Warehouse code	Warehouse code	CHAR	1	To be left blank
Buy/Sell flag	Buy/Sell order	CHAR	1	Mandatory Values B– Buy Order, S – Sell order
Trade Number	Trade Number	Number	16	Mandatory field Trade No.
Order Number	Order Number	Number	20	Mandatory field Buy or Sell order number
Exchange ID	Exchange ID	Number	1	Optional field(by default 1(NSE) if input is not given in file) Values: 1→NSE, 2→BSE, 3→MSE

Error Codes for New File format:-

Sr. No.	Validation	Valid values	Error message/Error Code
1	File Name length	File Name length should be 24 characters	Invalid File Name popup
2	Exchange name in file	NSE/MSE/BSE	Invalid File Name popup
3	Segment indicator in file name	F/X	Invalid File Name popup
4	Date in file name should be current date	Current date	Invalid File Name popup

Sr. No.	Validation	Valid values	Error message/Error Code
5	Total No. of fields for Control record	Total No. of fields for Control record should be 6	R2
6	Record Type	01	R6
7	Member Type	M	R14
8	Login Member and Member ID values comparison	Login Member same as Member ID	R13
9	Date	Current Date	R9
10	Batch Number value should be numeric	Batch Number value should be number	R8
11	No. of records	No. of records in control record should records in file	R5
12	No. of fields	6 or 7	R2
13	Order number	Numeric and not empty	R10
14	CP code	CP code Should be alpha numeric and not be greater than 12 characters	R19
15	Buy/Sell Flag	Buy/Sell value should be match with Trade Buy/Sell value	R24
16	Trade number	Numeric and not empty	R11
17	CP modification	Trade should not be already confirmed	R31
18	Trade Lookup	Trade in file should be available in EXE	R32/R33
19	Trade not in system	Trade in file should be available in system	1
20	CP modification	Old CP and new CP should not be same	16
21	CP modification	Old CP should be in system(latest picture not modified)	12
22	CP modification	CP code less than or equal to 12 characters	17
23	CP modification	Buy/Sell flag in trade should match that in system	15
24	TM Code	TM code should match with that in system	4
25	Tm Code	TM code should be active	3

Sr. No.	Validation	Valid values	Error message/Error Code
26	CP-CM association	CP-CM association should be present	51
27	CP-CM association	CP-CM association should not be changed	65