

NATIONAL STOCK EXCHANGE OF INDIA LIMITED**DEPARTMENT : CAPITAL MARKET SEGMENT**

Download Ref No : NSE/ CMTR/34805	Date : May 05, 2017
Circular Ref. No : 29/2017	

All Members,

Market Makers on SME Platform

This is further to Exchange circular no. NSE/SME/MEM/21427 regarding “Market Makers on SME Platform” dated August 06, 2012.

In continuous endeavour to improve member experience, Exchange is pleased to announce that CTCL/ NNF user id can also be used for the purpose of market making for SME securities.

In view of the above, the revised format of application in respect of Market Making facility for SME security to be submitted by trading members is given in Annexure 1.

Further, all other details of circular no. NSE/SME/MEM/21427 dated August 06, 2012 shall remain unchanged.

This circular shall be effective from May 08, 2017.

Members are requested to kindly take note of the above.

**For and on behalf of
National Stock Exchange of India Limited**

**Abhijeet Sontakke
Senior Manager**

Toll Free No	Fax No	Email id
1800-266-0053	+91-22-26598155	msm@nse.co.in

Annexure 1

Application for Market Making Facility for a SME Security (On the letter head)

To,

Date:

National Stock Exchange of India Ltd.
Exchange Plaza, Bandra Kurla Complex
Bandra (E), Mumbai – 400 051

I/We, _____ a Trading Member of NSEIL and registered market maker in SME segment of National Stock Exchange of India Ltd. (NSEIL) wish to do market making for a (Name of company) which is listed / will be listed on SME segment of NSEIL.

The market making will be done by self /on behalf of the client. The market making will be done through following trading terminals of NSEIL as mentioned below:

Name of Trading Member:

SEBI registration no:

Details of user id from which facility of using market making is being sought: -

Sr. No	User id	CTCL ID (Y/N)	User Name	Branch Id	Postal Address	IP details	Name and designation of the Director(s)/ Partner(s) supervising/ stationed at the location

Note: - Kindly note that, NEAT to CTCL conversion can be requested through ENIT module Trading-> User ID request-> Neat to CTCL conversion.

Information of client in case of market making is on behalf of client:

Sr. No.	Client Code	Client Name	Client PAN	Client Category

I/We request NSEIL to designate me/us as Market Maker for the above security.

In case of CTCL/ NNF user id as market maker id, I shall abide by the following:

- Market Maker CTCL/ NNF ID will be used only for market making purpose.
- No User IDs shall be issued for non-market-maker clients from the said CTCL/NNF system.

Yours sincerely,

Authorised Signatory

Name:

Designation:

Place:

In case of any queries regarding market making facility for SME Security, kindly contact Member Service Department on toll free no. 18002660053 or email on msm@nse.co.in.