

National Stock Exchange Of India Limited**Department : COMPLIANCE**

Download Ref No: NSE/COMP/41232

Date : June 06, 2019

Circular Ref. No: 21/2019

To All Members,

Sub: SEBI Single Registration for Stock Brokers

This is in continuation to Exchange circular NSE/COMP/40395 dated March 07, 2019, NSE/COMP/40042 dated January 25, 2019 and NSE/COMP/35989 dated October 03, 2017 regarding SEBI Single Registration.

It is observed that few members have not initiated the process of applying for SEBI single registration. Further, there are many members who have applied for SEBI single registration and have been intimated by Exchange about deficiency in the application submitted.

Members may please note that Exchange is in receipt of communication from SEBI stating that, no application for Single Registration will be accepted after June 15, 2019. Hence to avoid any future hardships, members who have not yet submitted their application for single registration and/or have not responded to the deficiency intimation should submit the Single Registration application by June 15, 2019.

In view of the same, all members should ensure that completed single registration applications along with supporting documents should be duly submitted to the Exchange by June 15, 2019. Kindly refer the below link for the required formats:

https://www.nseindia.com/content/members/Application_for_Single_SEBI_Registration.doc

For and on behalf of

National Stock Exchange of India Limited**Meghana Kulkarni**
Chief Manager

Telephone No.	Fax No.	Email ID
1800 266 0058	+91-22-26598428	compliance@nse.co.in